

# Client-Specific Equivalence Checking

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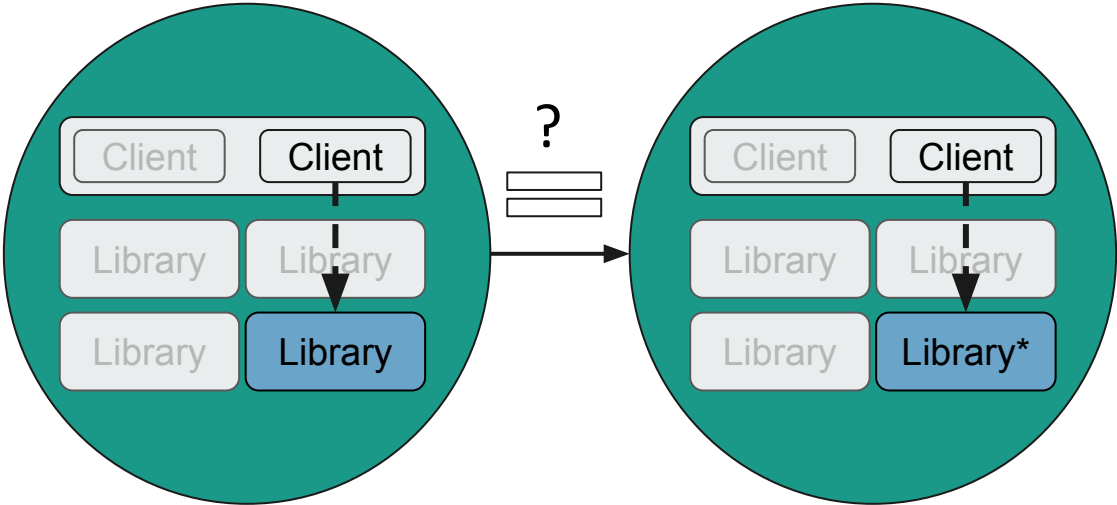
Marsha Chechik (University of Toronto)

# Motivation



Software

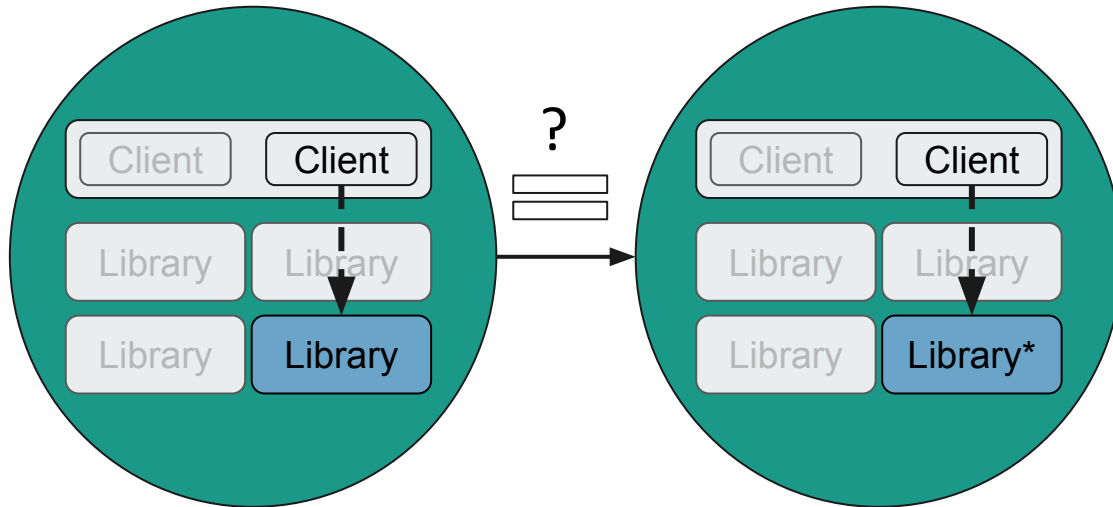
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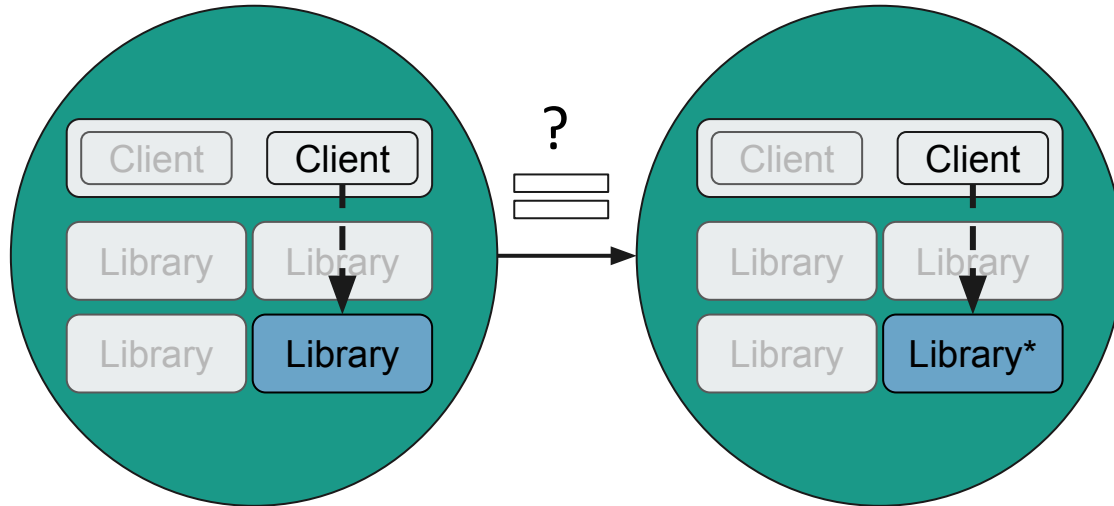
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Software

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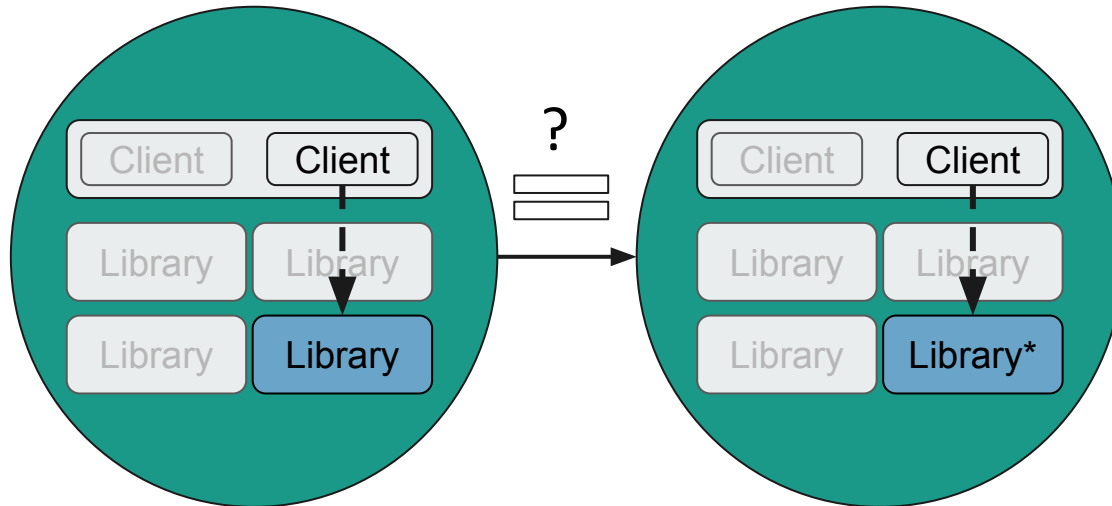
uses  
- ->

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Software

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Can we efficiently detect when a client is affected by a library update?



## Example

```
double mpf_get_d_2exp(signed long int *expptr, mpf_srcptr src) {
    mp_size_t size, abs_size;
    mp_srcptr ptr;
    int cnt;
+double d;

    size = SIZ(src);
    if (UNLIKELY(size == 0))
    {
        *expptr = 0;
        return 0.0;
    }
    ptr = PTR(src);
    abs_size = ABS(size);
    count_leading_zeros(cnt, ptr[abs_size - 1]);
    cnt -= GMP_NAIL_BITS;
    *expptr = EXP(src) * GMP_NUMB_BITS - cnt;

- return mpn_get_d(ptr, abs_size, 0, -(abs_size * GMP_NUMB_BITS - cnt));
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Library with  
changes

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Library with changes

New version always returns positive number



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REAL log_real(REAL x) {
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    double ln_app;
    signed long int exp;

    d = mpf_get_d_2exp(&exp, x.get_mpf_t());
    ln_app = (double) exp *log(2.0) + log(d);
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double F_mpz_poly_eval_horner_d_2exp(
    long *exp, F_mpz_poly_t poly, double val)
{
    ... res = mpf_get_d_2exp(exp, output);
    // work around bug in earlier versions of GMP/MPPIR
    if ((mpf_sgn(output) < 0) && (res >= 0.0))
        res = -res;
    ...
}

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```

Takes absolute value of output

Can we efficiently detect when a client is affected by a library update?

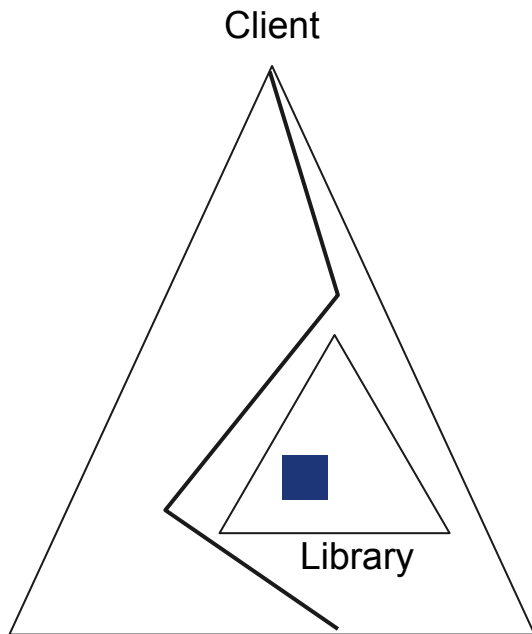
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# First Attempt: Apply Existing Techniques.

# Preliminaries

We consider partial functional equivalence

- Loops and recursion (implicitly) unrolled to configurable depth,  $d$
- Two unrolled programs  $P, P'$  are equal iff for all  $x, P(x) = P'(x)$

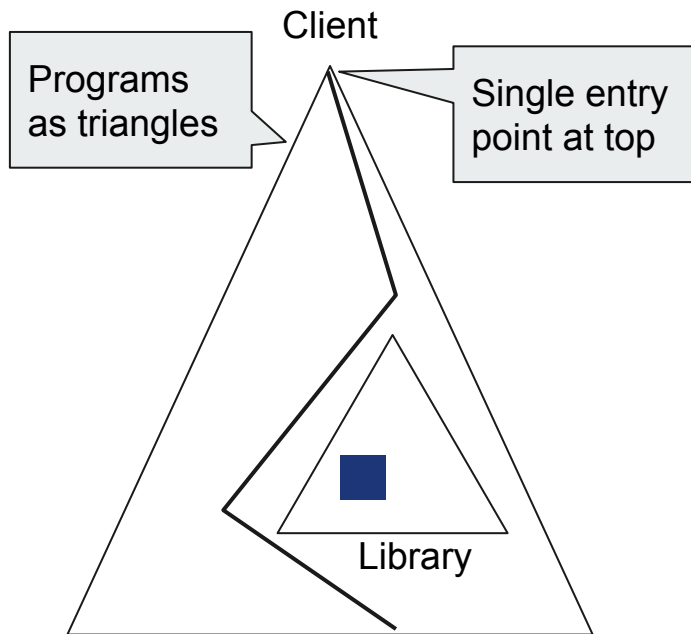




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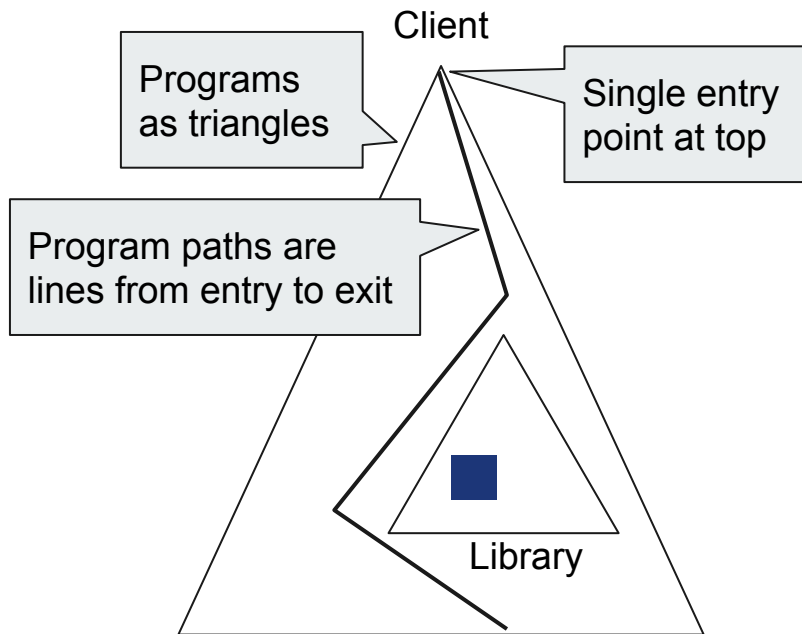
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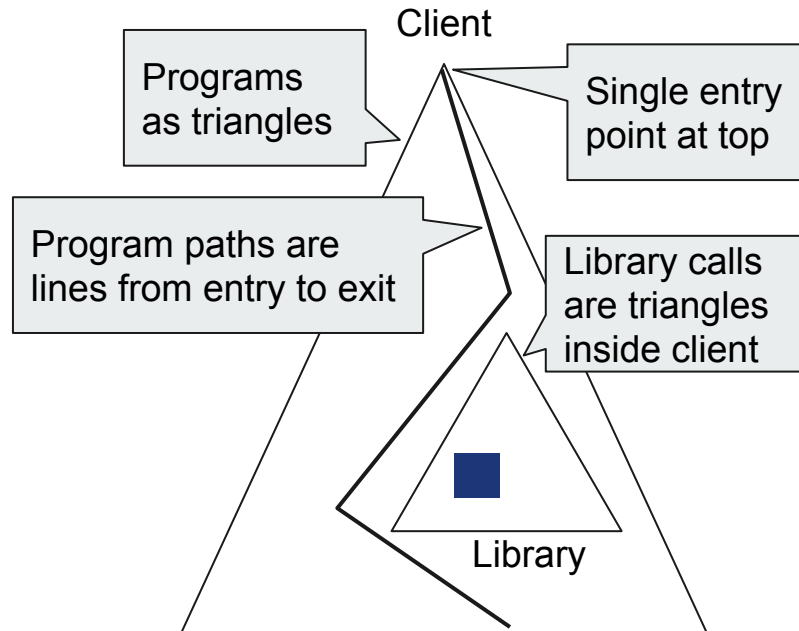
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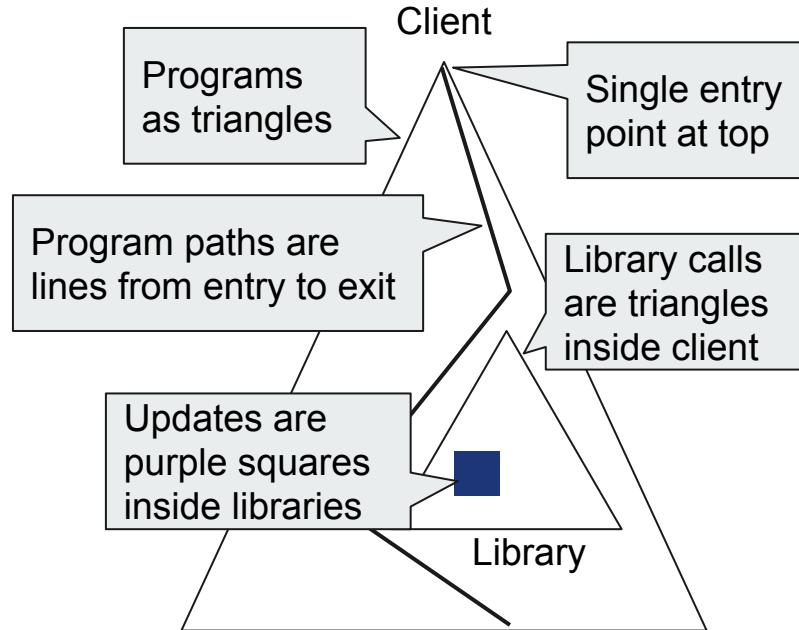
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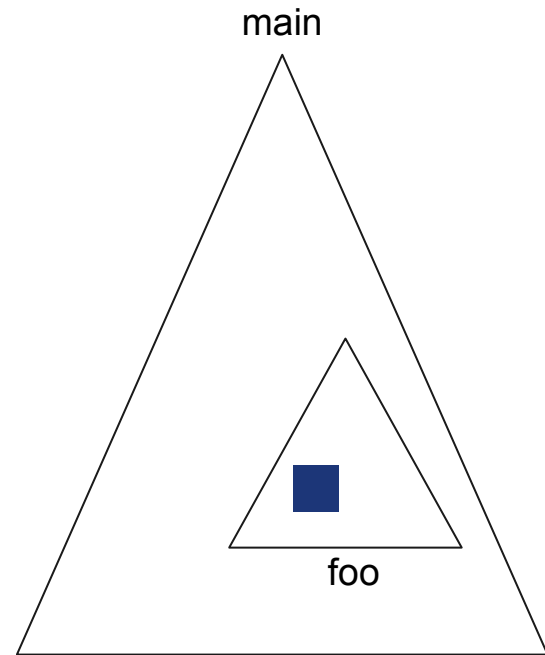
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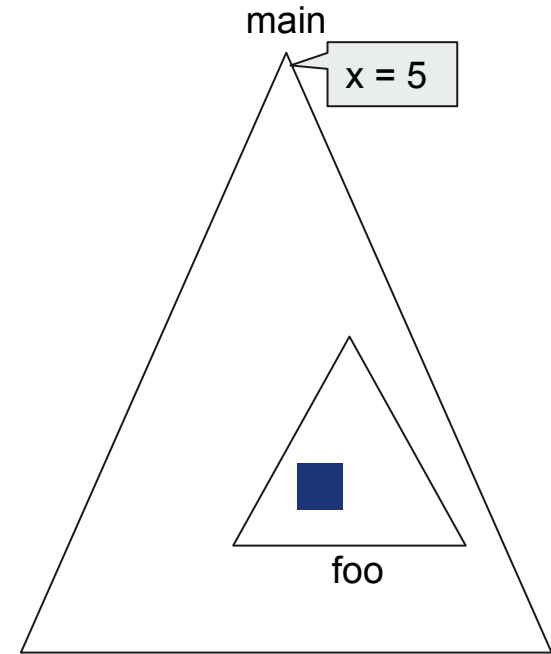
# Example Diagram

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int main(int x) {  
    if (x>=18 && x<22)  
        return foo(x,20);  
    return 0;  
}  
  
int foo(int a, int b) {  
    int c=0;  
-   for (int i=1;i<=b;++i)  
-       c+=a;  
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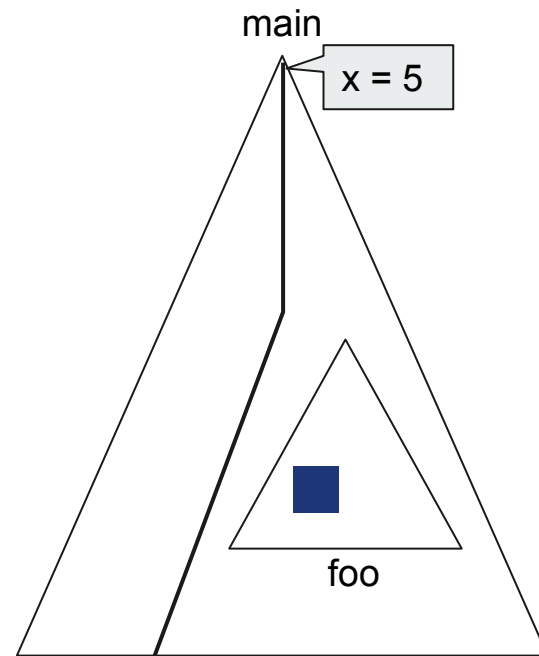
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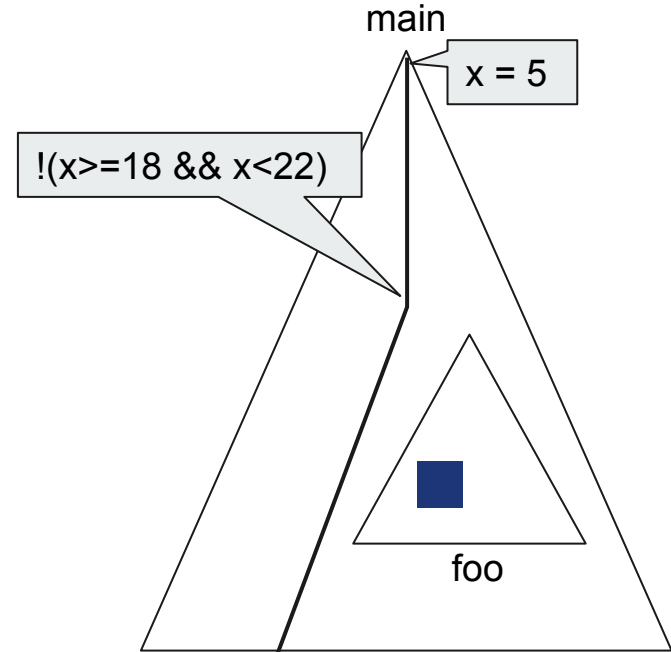
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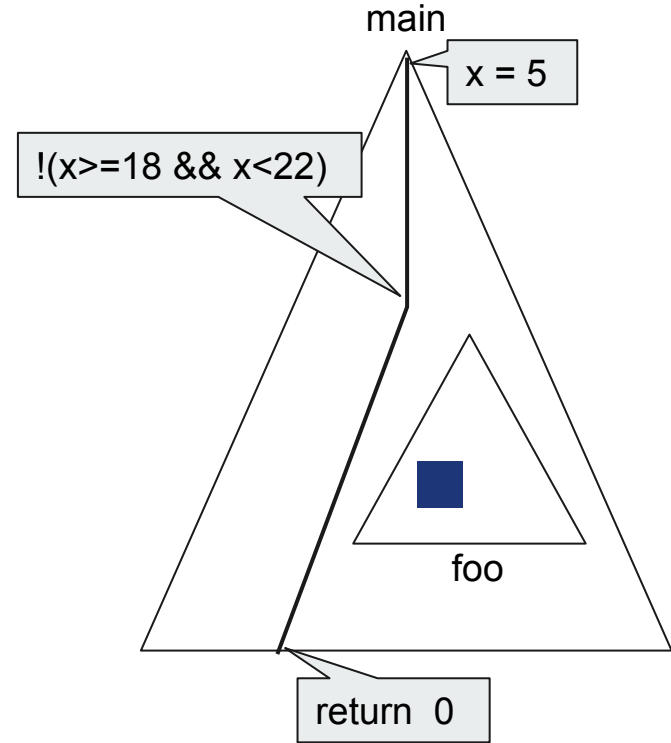
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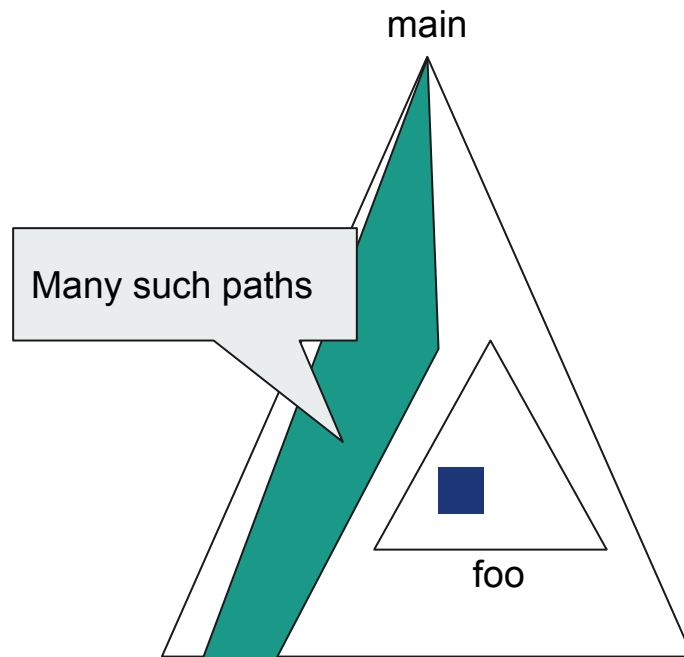
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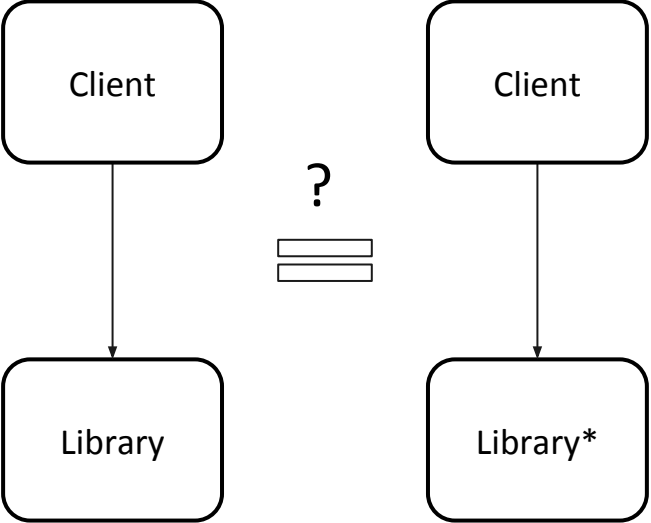
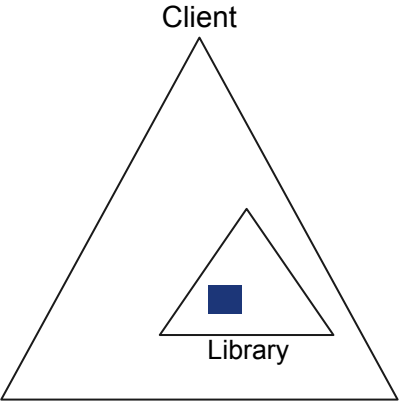
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[Trostanetski et al, 17]

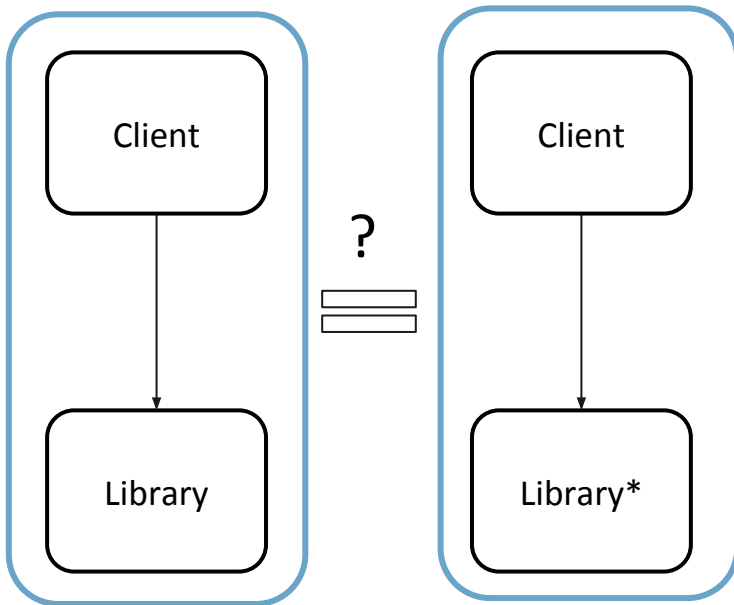
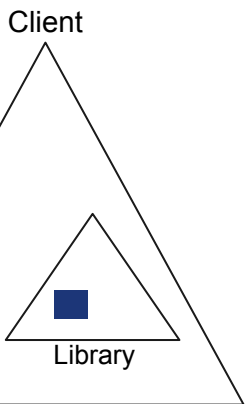


# Different Ways to Apply Existing Solutions



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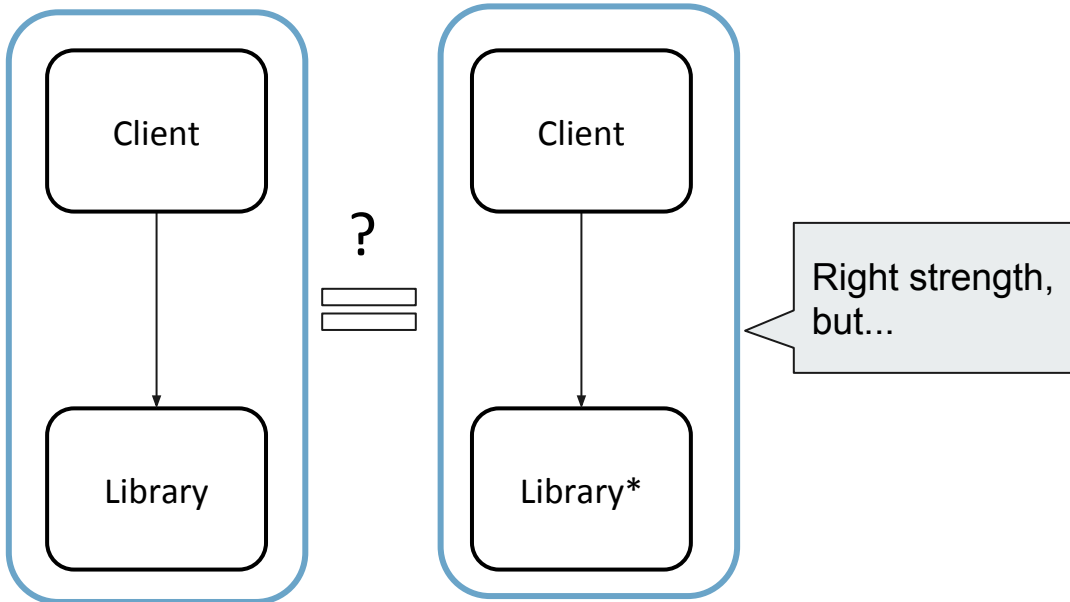
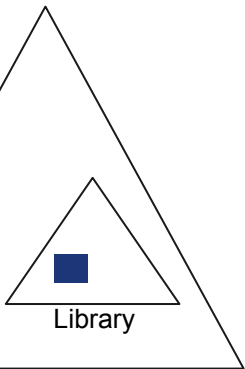
## 1. Checking Equivalence of Client-Library Pairs



# Different Ways to Apply Existing Solutions

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Client

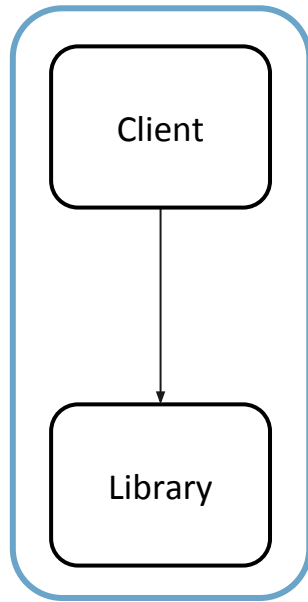


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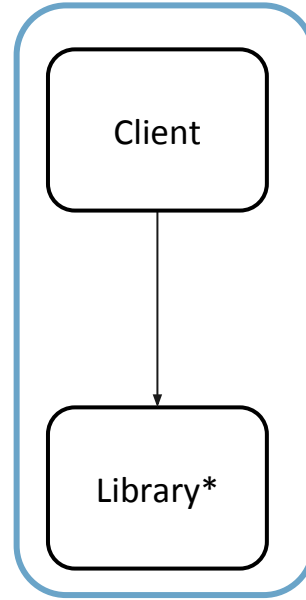
Ignores the fact that the client remains unchanged

Client



?

=

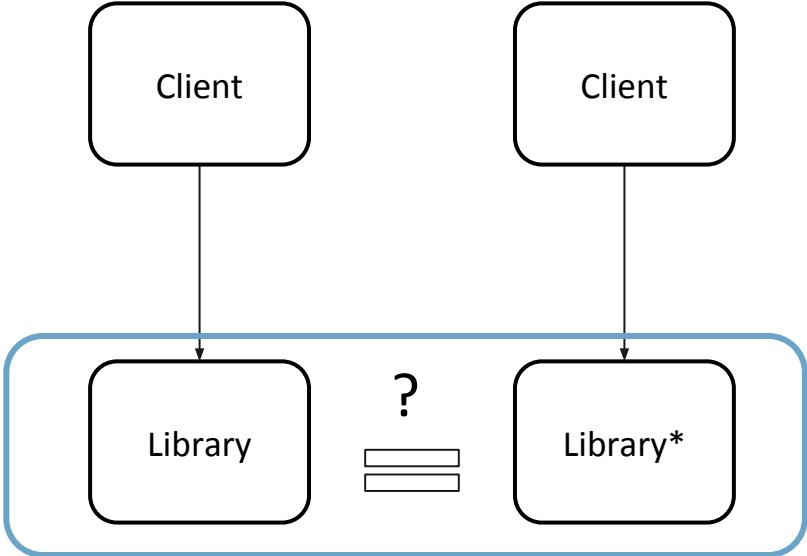
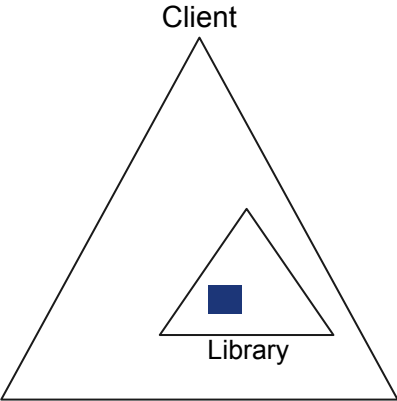


Right strength, but...

# Different Ways to Apply Existing Solutions



## 2. Checking Equivalence of Libraries

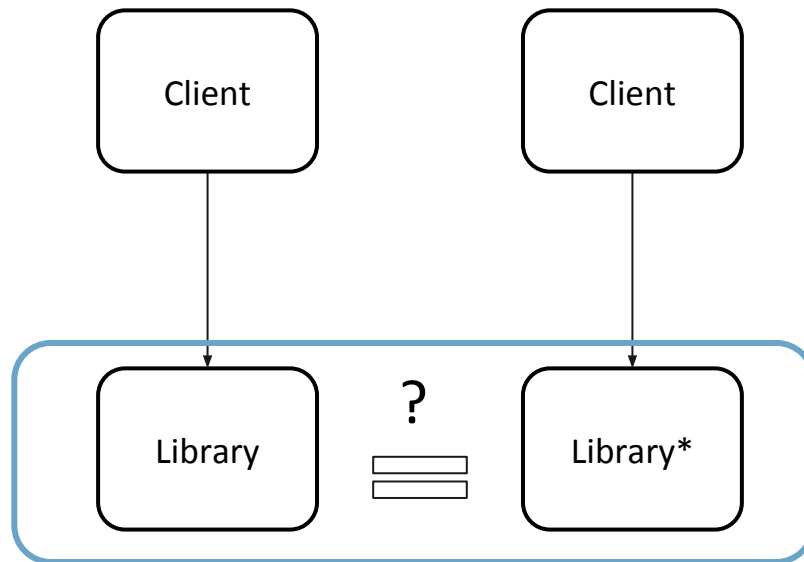
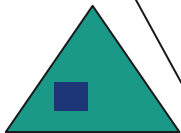


# Different Ways to Apply Existing Solutions

## 2. Checking Equivalence of Libraries

Does not consider how the client uses the library

Client



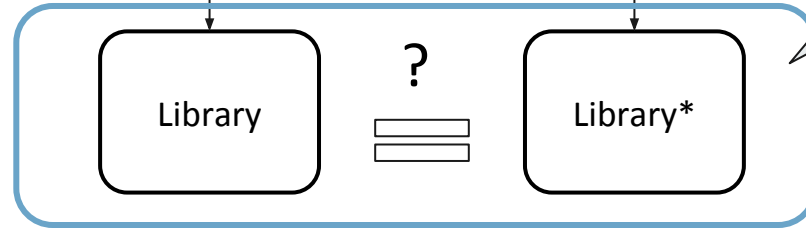
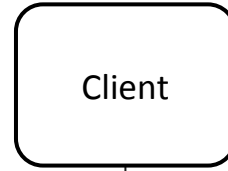
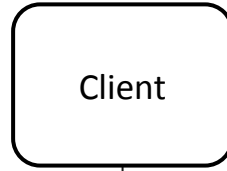
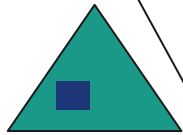


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Does not consider how the client uses the library

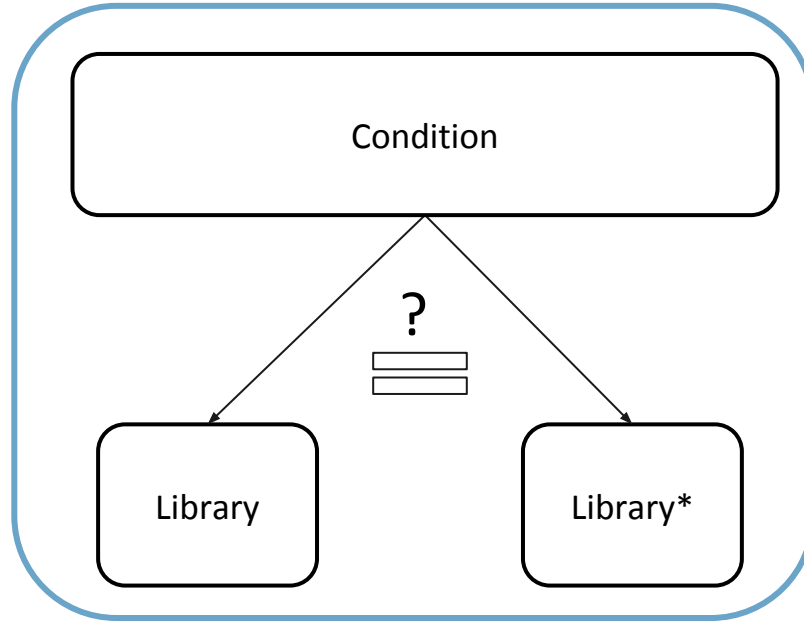
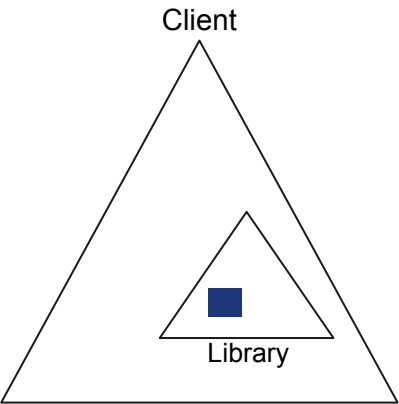
Client



Too Strong!

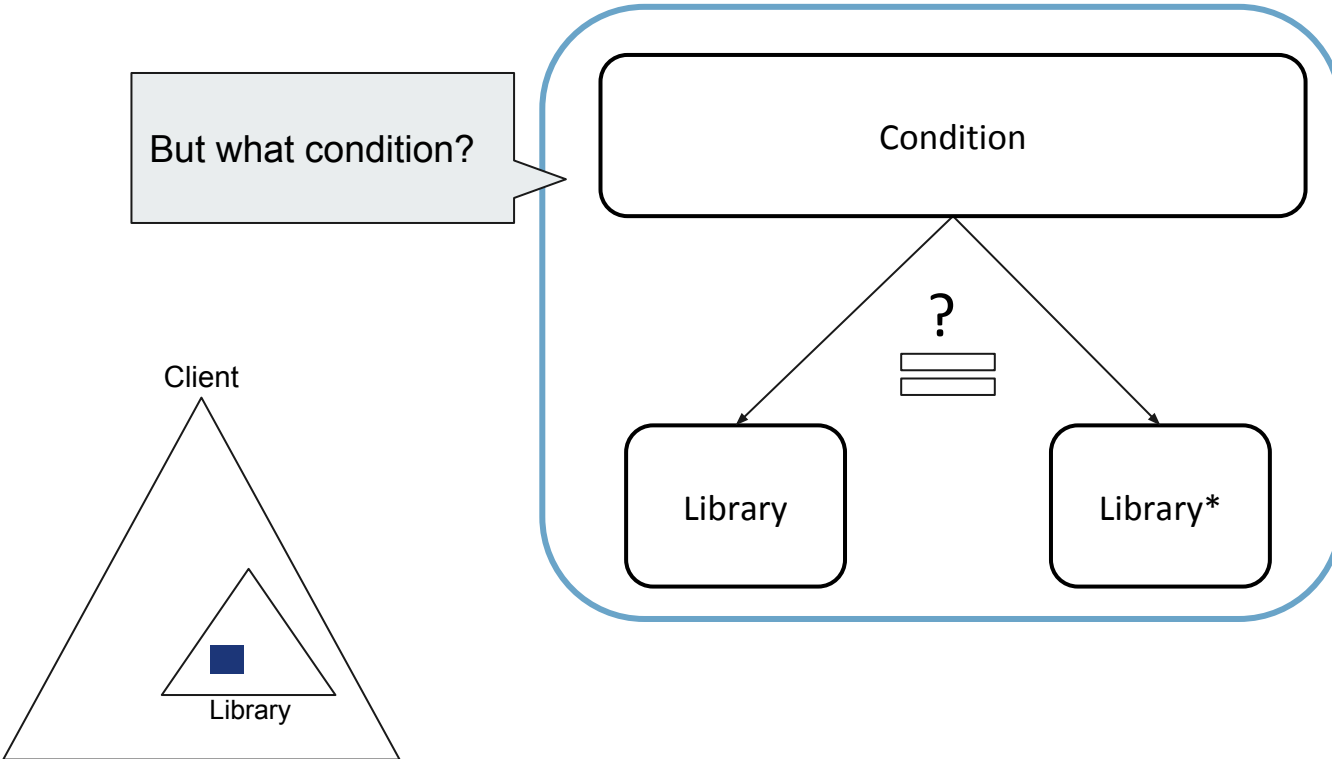
# Different Ways to Apply Existing Solutions

## 3. Checking Equivalence Of Libraries Under a Condition



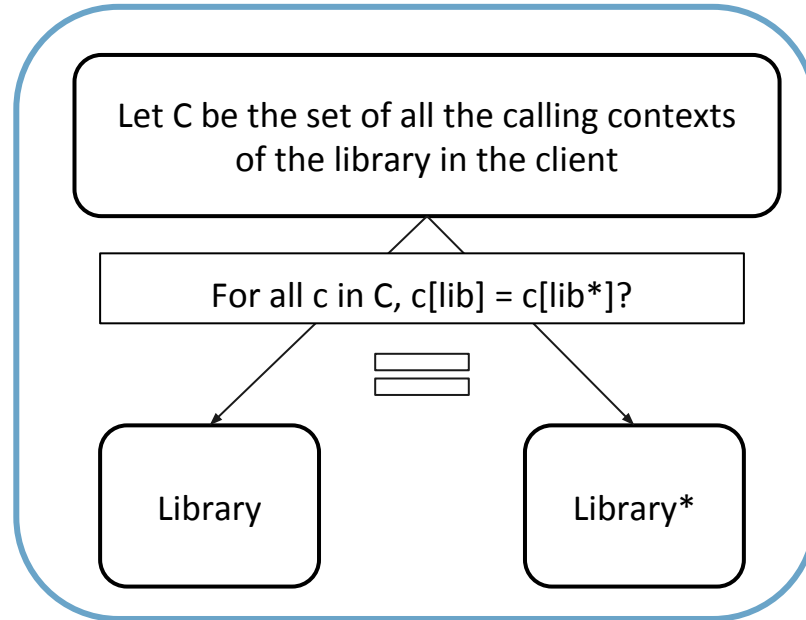
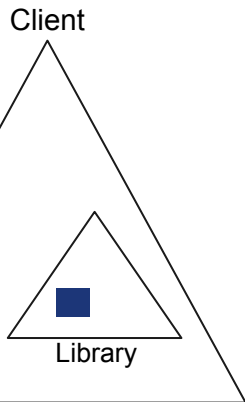
# Different Ways to Apply Existing Solutions

## 3. Checking Equivalence Of Libraries Under a Condition



# Different Ways to Apply Existing Solutions

## 3. Checking Equivalence Of Libraries Under a Condition



# Different Ways to Apply Existing Solutions

## 3. Checking Equivalence Of Libraries Under a Condition

Exploring part of client that calls library, and part of library used by client

Client

Library

Let  $C$  be the set of all the calling contexts of the library in the client

For all  $c$  in  $C$ ,  $c[\text{lib}] = c[\text{lib}^*]$ ?

Library

Library\*

# Different Ways to Apply Existing Solutions

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Library

Library\*

But too strong again!

- $\text{lib}(x) := x$
- $\text{lib}'(x) := -x$
- $\text{client} := \text{lib}(1) + \text{lib}(-1)$

Can we efficiently detect when a client is affected by a library update?

---

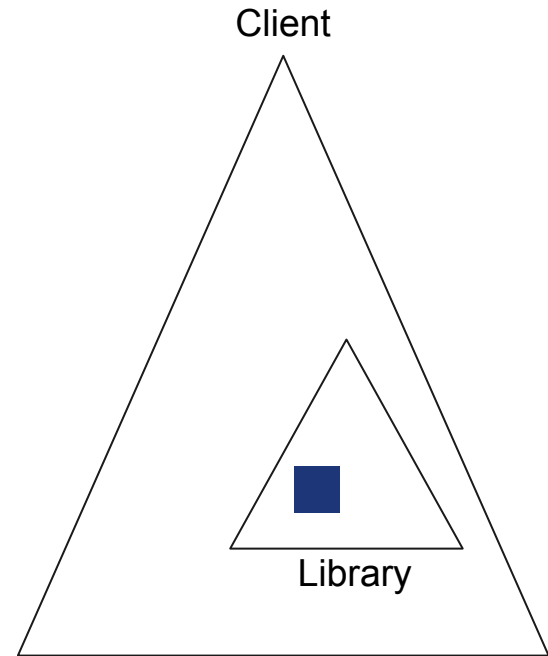
## Second Attempt: CLient-Specific EquiValence CheckER

# CLEVER Overview

Insight: existing techniques are too strong, or consider too much.

To get the most precise and efficient analysis let's consider only

- how the client uses the library and
- where the library change is active





# CLEVER Overview

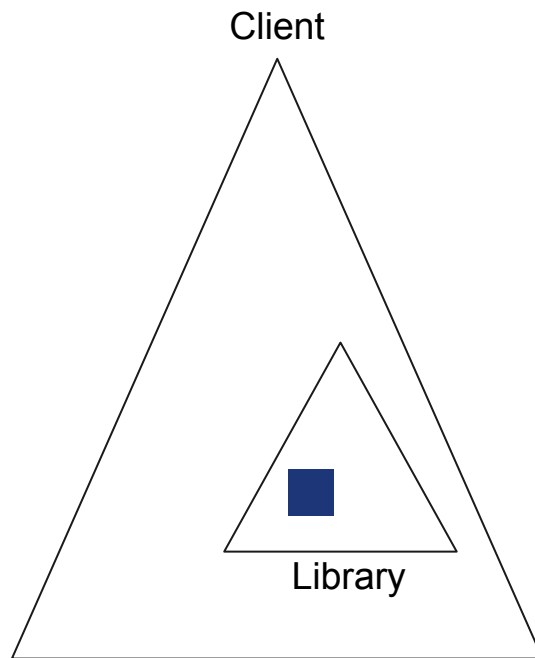
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Further, let's target patterns observed "in the wild"

- Identify when a client doesn't use the library change
- When it does, look for quick counterexample



# CLEVER Overview

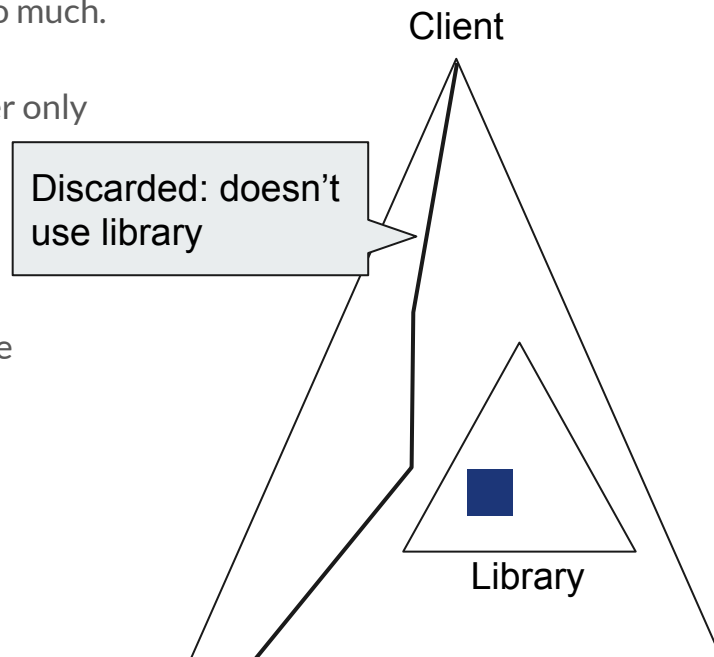
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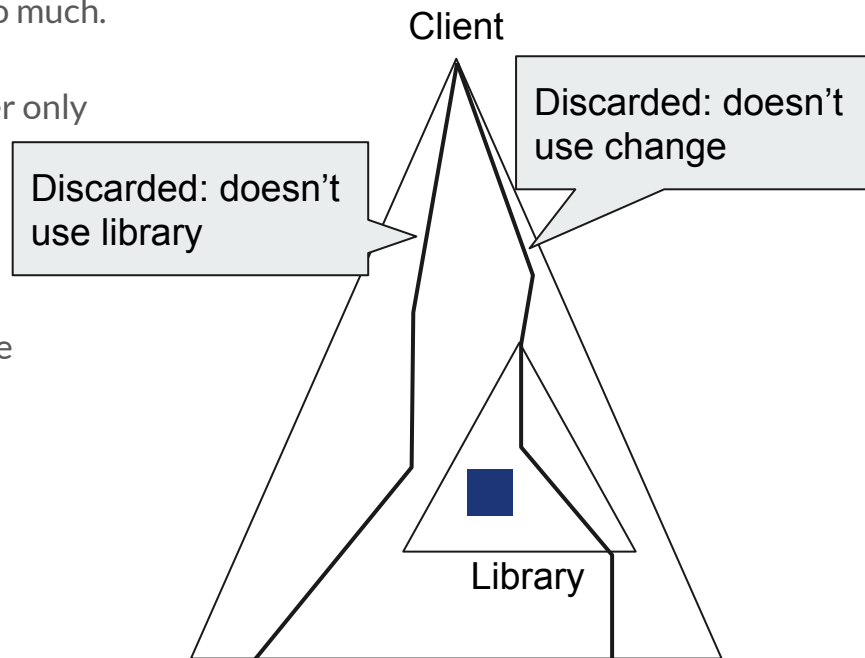
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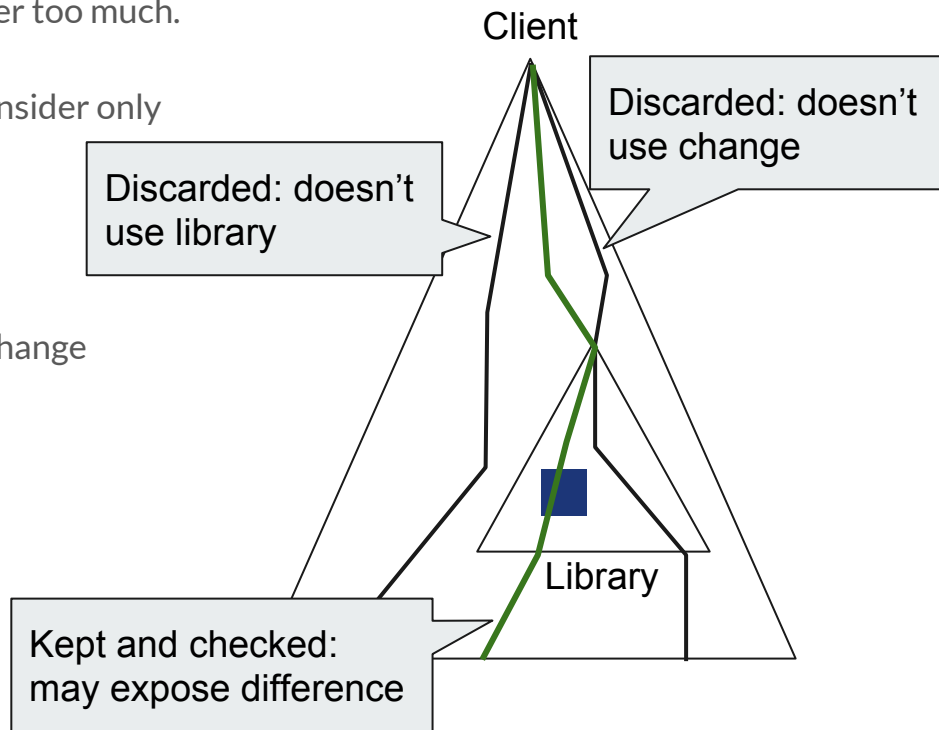
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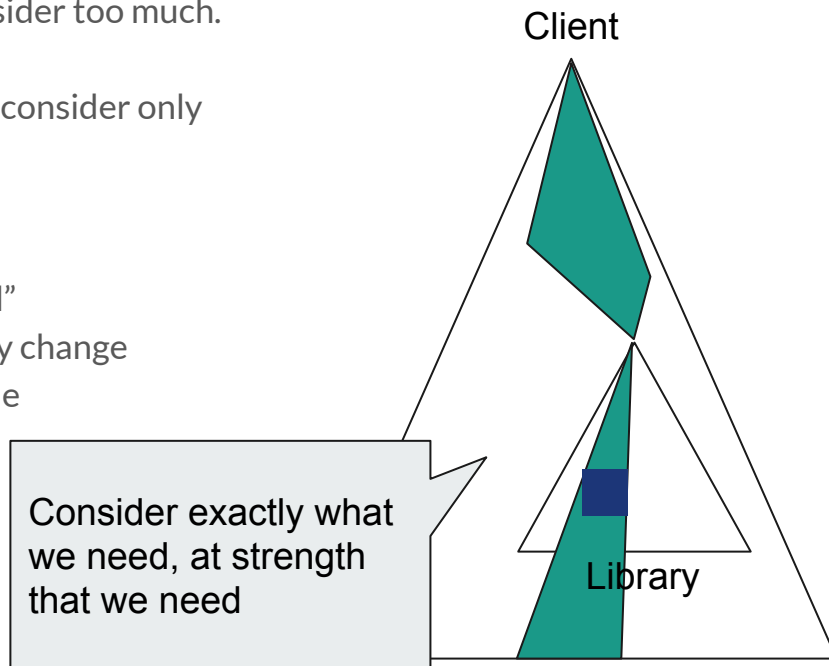
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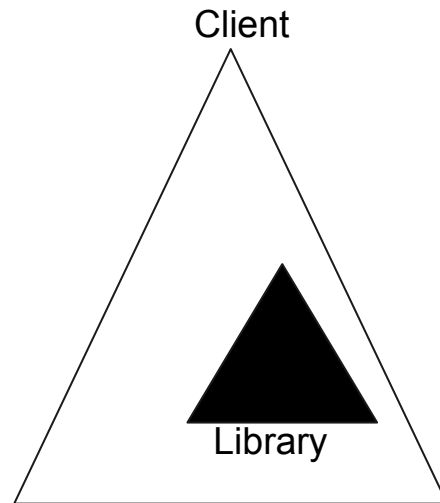
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# CLEVER In Detail

## Algorithm

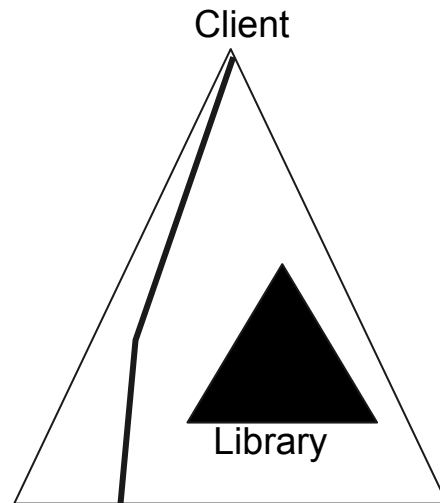
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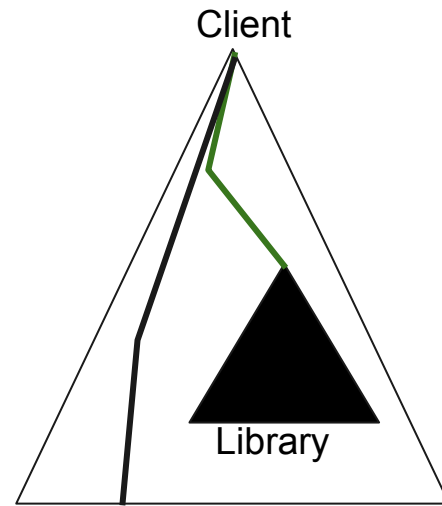


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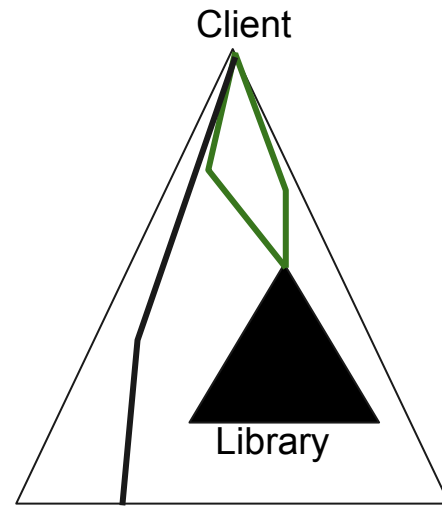




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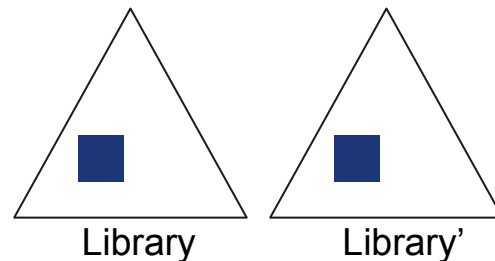
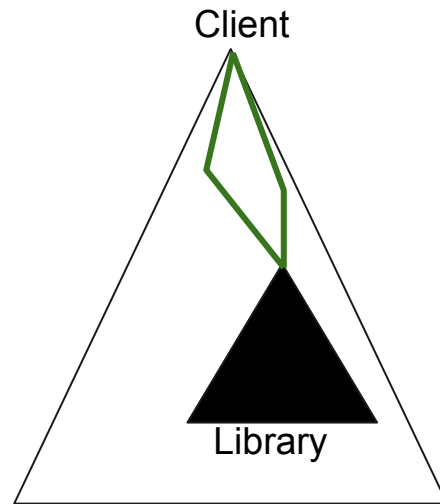
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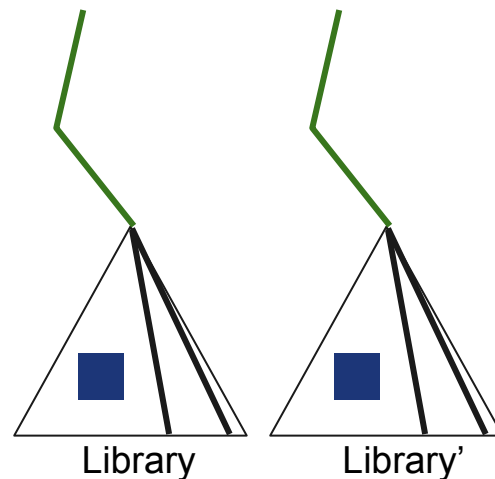
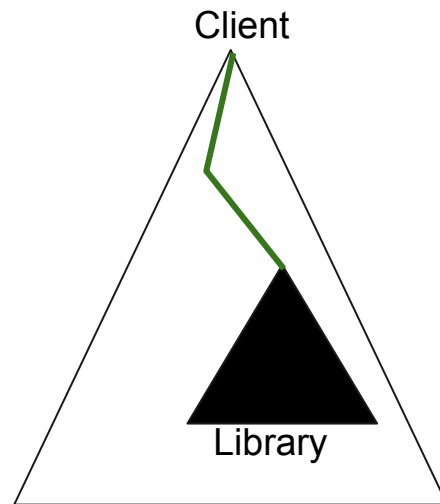
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## Algorithm

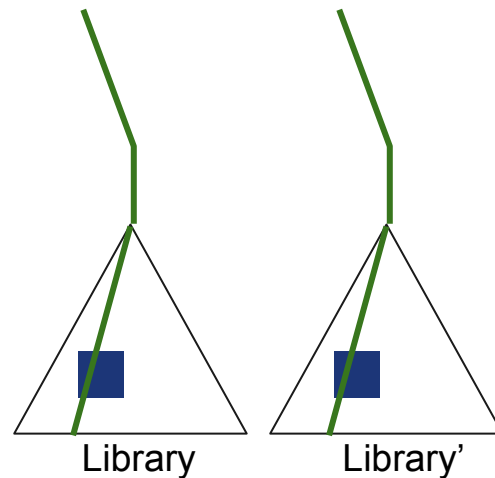
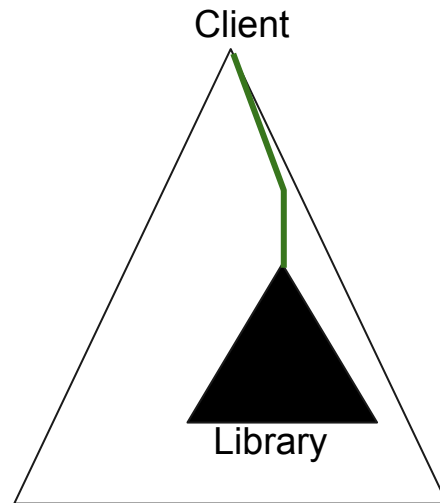
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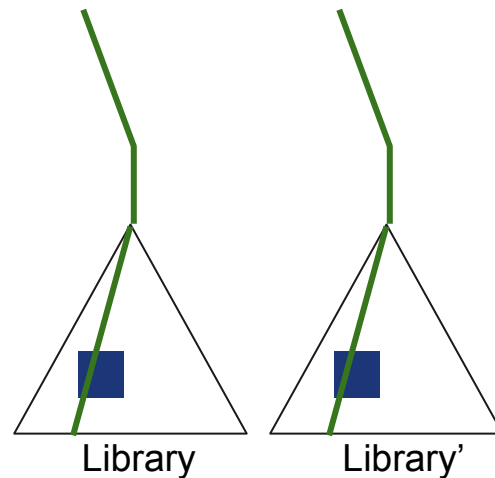
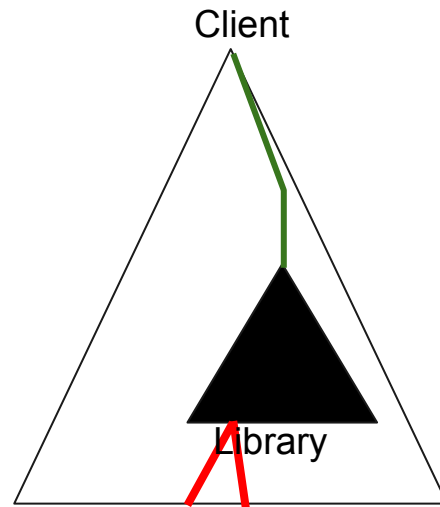
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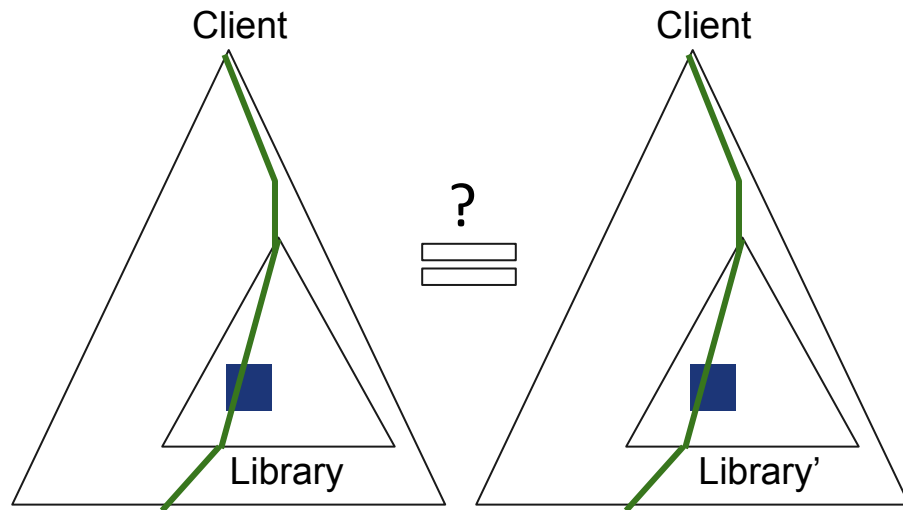
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    - Else store paths
- Create equivalence assertion from stored paths
- Dispatch to existing verifier, or SMT solver

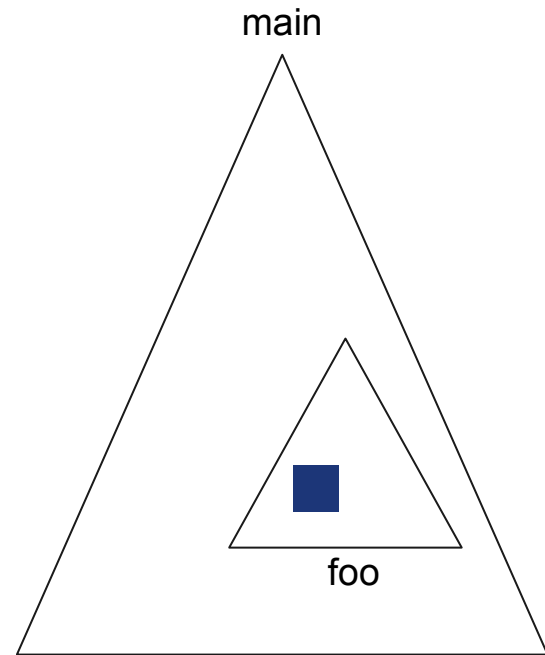


# Example Savings

```
int main(int x) {
    if (x>=18 && x<22)
        return foo(x,20);
    return 0;
}

int foo(int a, int b) {
    int c=0;
-   for (int i=1;i<=b;++i)
-       c+=a;
+   for (int i=1;i<=a;++i)
+       c+=b;
    return c;
}
```

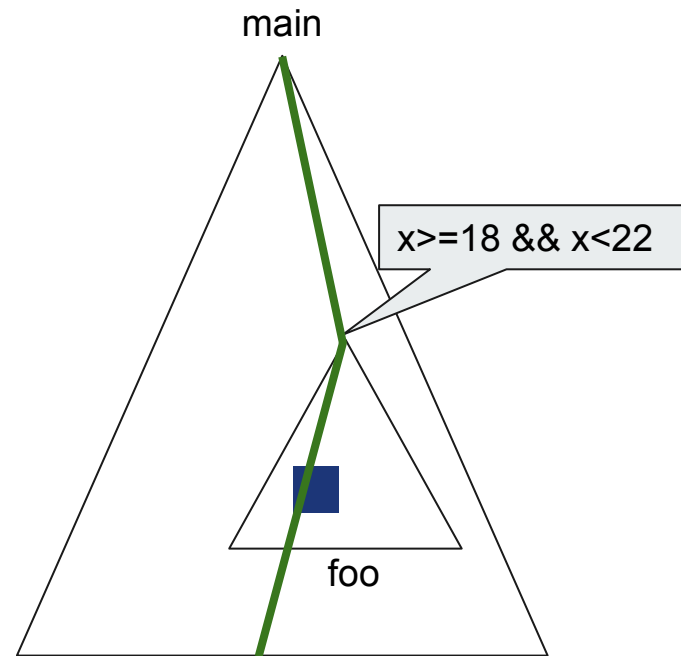
[Trostanetski et al, 17]



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[Trostanetski et al, 17]



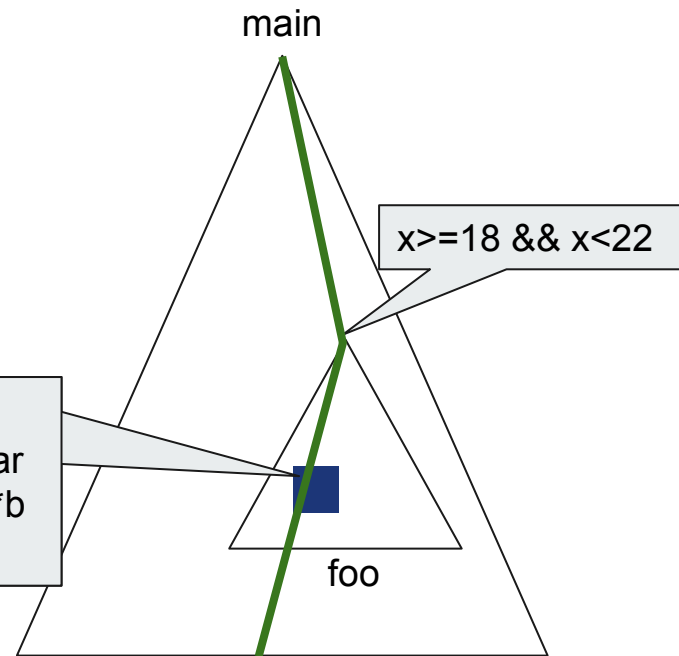


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    return c;  
}
```

Saves us from  
computing a non-linear  
loop invariant:  $c == a*b$   
 $== a*b'$





# Evaluation

# Implementation



Available at: <https://github.com/Client-Specific-Equivalence-Checker/CLEVER>

Explores client contexts using symbolic execution

- PyExSMT (<https://github.com/FedericoAureliano/PyExSMT>)

# Experimental Setup

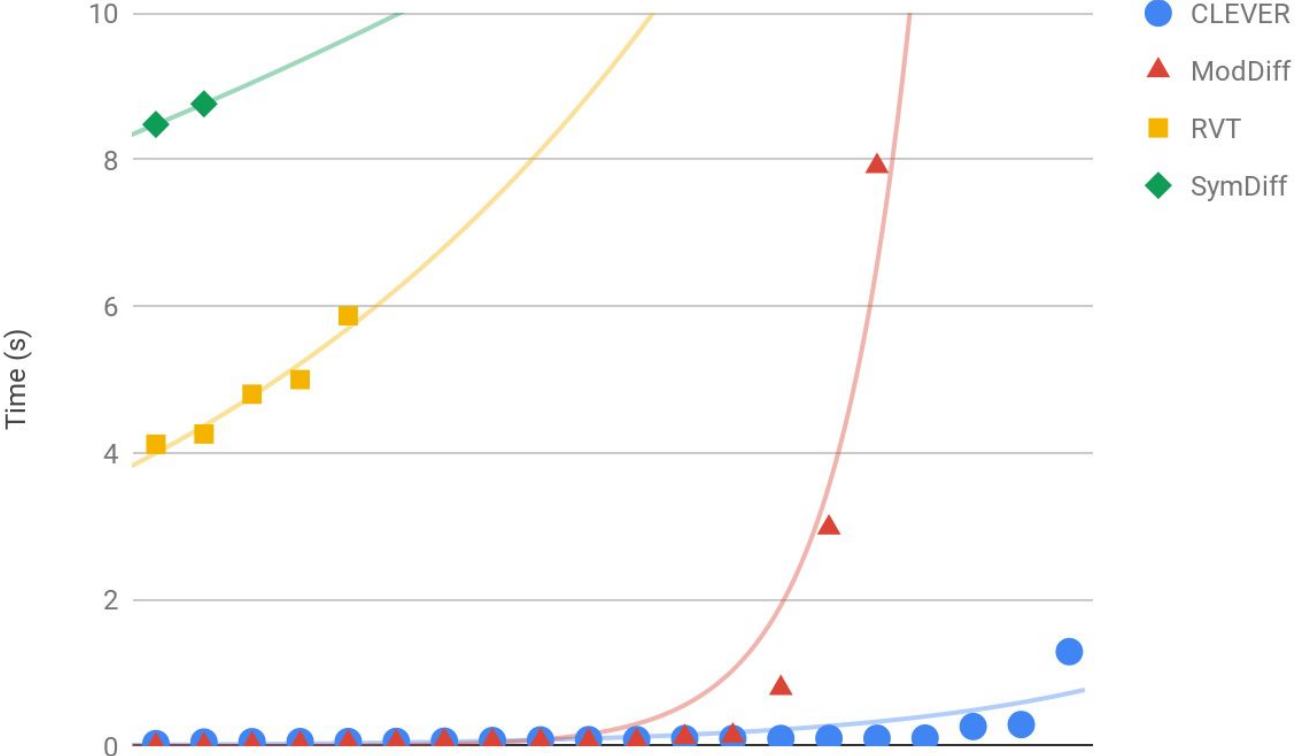


We compare with SymDiff, RVT, and ModDiff (treating client-lib pair as a whole).

Subjects:

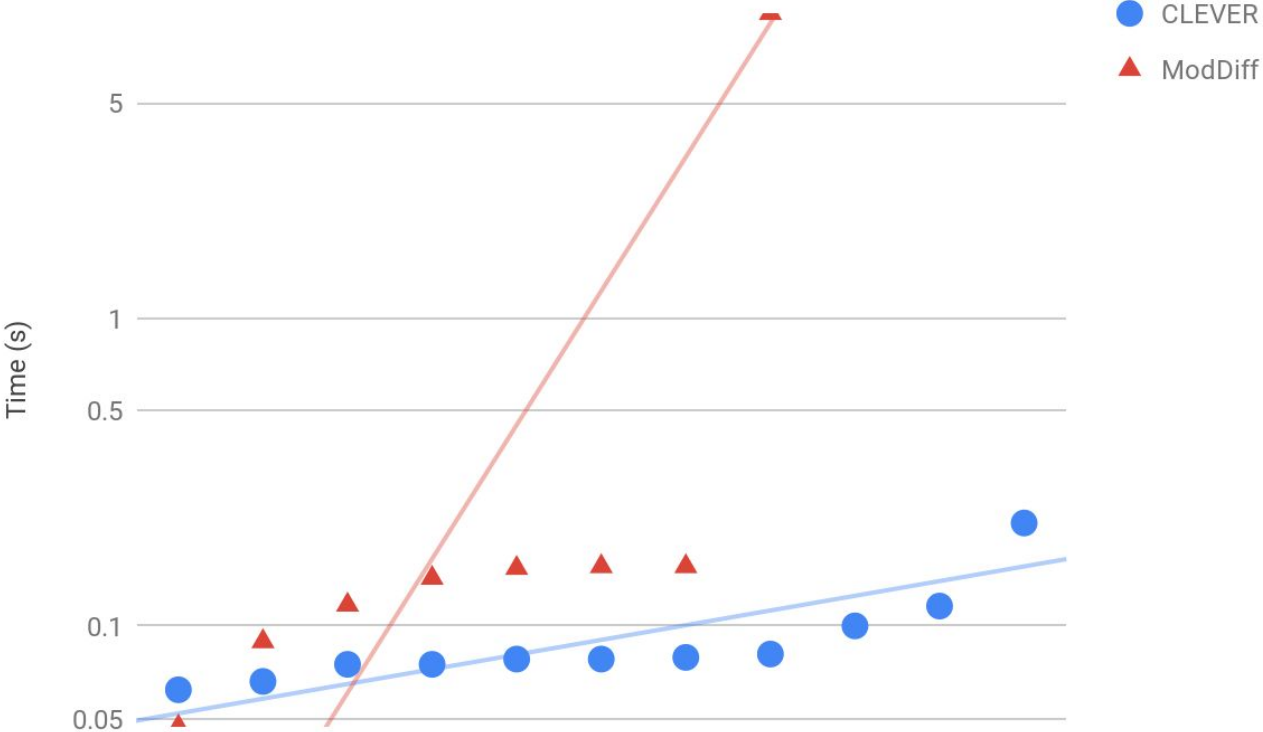
- 39 client-library pairs with library updates (23 equivalent / 16 inequivalent)
- 23 come from the ModDiff suite (small programs)
- 16 come from our pre-study

# Cactus Plot: Equivalent Cases

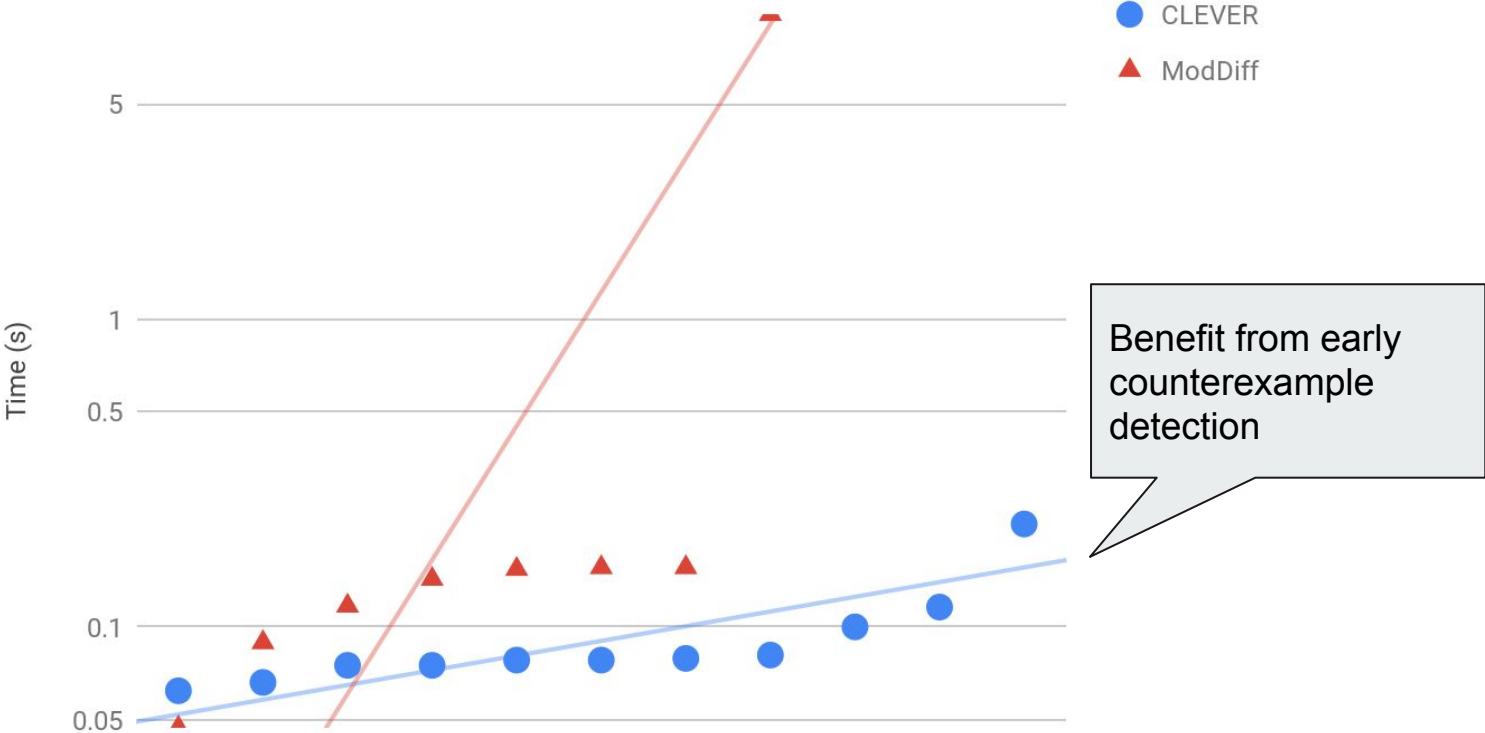




# Cactus Plot (Log Scale): Non-Equivalent Cases



# Cactus Plot (Log Scale): Non-Equivalent Cases





---

# Conclusions & Beyond

# Summary



- We identified client-specific equivalence checking
- Produced a technique and tool for checking it
  - Insight: existing techniques are too strong, or consider too much.
  - We consider only how the client uses the library and where the library change is active
  - We target patterns observed “in the wild”
- Evaluated our tool against the state-of-the-art
  - It does well!

# Future Work



Lots of details are not considered, yet

- Go beyond functional equivalence
  - Total path equivalence: maintaining all intermediate executions of the client etc.
- Improvements on usability
  - Explain reasons for equivalence
  - Suggest changes/updates to clients

Benchmark size is still quite limited

- Call backs, side effects, heap, etc.
- Increase support for primitive types
  - E.g. floating-point numbers, strings, and algebraic datatypes

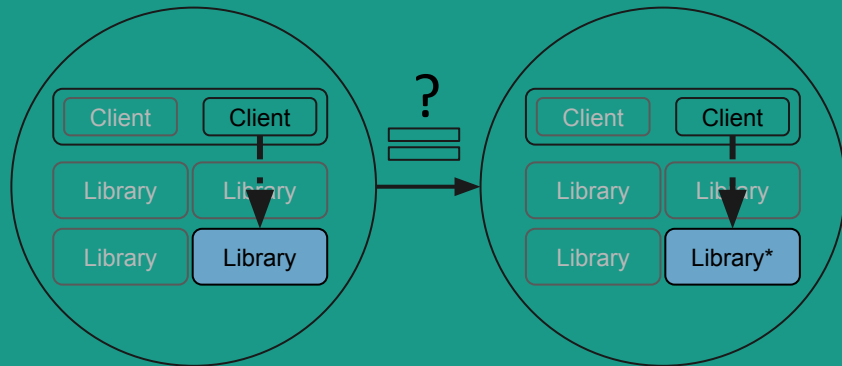
# Thank You!



CLEVER available at <https://github.com/Client-Specific-Equivalence-Checker/CLEVER>

Benchmarks and more available at <https://client-specific-equivalence-checker.github.io/>

PyExSMT available at <https://github.com/FedericoAureliano/PyExSMT>



# Frequently Asked Questions

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**How Often Is a Client Unaffected  
by a Change/Does this problem  
exist in real life?**

# Applicability Study



Inspected 66 client-library function pairs

- Popular libraries on GitHub (>1,000 stars)
- Written in C and Python
- Went through 100 most recent commits which do not alter signatures
  - mostly bug fixes and
  - new behaviour introductions
- Searched for unique clients on GitHub

# Applicability Study Results



Projects	Library Functions	# Client	# Affected	# Unaffected
OpenSSL	RN_is_prime_fasttest_ex	10	5	5
OpenSSL	RSA_check_key	32	5	27
Linux	gcd	11	8	3
GMP	mpf_get_d_2exp	7	1	6
Delorean	Delorean	3	0	3
Delorean	Delorean2	3	0	3
All	All	66	19	47



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All	All	66	19	47

- ~71% of the clients are unaffected!

---

# How does CLEVER scale with increasing number of paths?

(Since our approach is based on path exploration)

# CLEVER Vs. ModDiff by Number of Paths

Experiment: Take ModDiff equivalent benchmarks, keep structure, but increase number of paths

Non-Equivalent Cases even more stark.

